

JOINT

## ASSIGNMENT

WHEREAS, WE, Dalong Ma, Wenling Han, Yingmei Zhang, Quansheng Song, Chunhui Di, Jiaqiang Huang, Jian Tang, Guanghui Chen, ASSIGNORS, citizens of China, residing at No. 38 Xueyuan Road, Haidian District, Beijing 100083, China, are the inventors of the invention in Chemokine Like Factors (CKLFs) with Chemotactic and Hematopoietic Stimulating Activities for which we have executed an application for a Patent of the United States

which is executed on \_\_\_\_\_ even date herewith or \_\_\_\_\_

: which is identified by Pennie & Edmonds LLP docket no. 10776-003-999  
which was filed on , Application No.

and WHEREAS, Beijing Medical University having a business address at No. 38 Xueyuan road, Haidian District, Beijing, 100083, China and Beijing Medical University United Biological Engineering Company having a business address at No. 38 Xueyuan road, Haidian District, Beijing, 100083, ASSIGNEES are desirous of obtaining our entire right, title and interest in, to and under the said invention and the said application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEES, their successors, legal representatives and assigns, our entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof, and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

AND WE HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEES, their successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said ASSIGNEES, their successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEES, their successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

IN TESTIMONY WHEREOF, We hereunto set our hands and seals the day and year set opposite our respective signatures.

-T AVAILABLE COPY

Date <u>March 9</u> , 2001	<u>Dalong Ma</u> ✓ L.S. Dalong Ma
Date <u>March 9</u> , 2001	<u>Wenling Han</u> ✓ L.S. Wenling Han
Date <u>March 9</u> , 2001	<u>Ying mei Zhang</u> ✓ L.S. Yingmei Zhang
Date <u>March 9</u> , 2001	<u>Quansheng Song</u> X L.S. Quansheng Song
Date <u>March 9</u> , 2001	<u>Chunhui Di</u> ✓ L.S. Chunhui Di
Date <u>March 9</u> , 2001	<u>Jiaqiang Huang</u> ✓ L.S. Jiaqiang Huang
Date <u>March 9</u> , 2001	<u>Jian Tang</u> ✓ L.S. Jian Tang
Date <u>March 9</u> , 2001	<u>Guanghui Chen</u> ✓ L.S. Guanghui Chen

BEST AVAILABLE COPY

*Attachment B*

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: : Application of: Ma et al.  
Patent of:

: Application No.: To be assigned  
Patent No.:

Group Art Unit: To be assigned

: Filed: On Even date herewith  
Issued:

Examiner: To be assigned

For: Chemokine Like Factors (CKLFs) with  
Chemotactic and Hematopoietic Stimulating Activities

Attorney Docket No.: 10776-003-999

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
[37 CFR 1.9(f) and 1.27(d)] - Nonprofit Organization

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

Name of organization Beijing Medical University

Address of organization No. 38 Xueyuan Road, Haidian District, Beijing 100083, China

Type of organization

: University or other institution of higher education

Tax exempt under Internal Revenue Service Code (26 USC 501(a) and 501(c)(3))

Nonprofit scientific or educational under statute of state of the United States of America

(Name of state \_\_\_\_\_)

(Citation of statute \_\_\_\_\_)

Would qualify as tax exempt under Internal Revenue Service Code (26 USC 501(a) and 501(c)(3)) if located in the United States of America.

Would qualify as nonprofit scientific or educational under statute of state of the United States of America if located in the United States of America

(Name of state \_\_\_\_\_)

(Citation of statute \_\_\_\_\_)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code with regard to the invention entitled Chemokine Like Factors (CKLFs) with Chemotactic and Hematopoietic Stimulating Activity by inventor(s) Dalong Ma, Wenling Han, Yingmei Zhang, Quansheng Song, Chunhui Di, Jiaqiang Huang, Jian Tang, Guanghui Chen described in

: the specification filed herewith  
application no. filed  
patent no. issued

BEST AVAILABLE COPY

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization identified above and/or there is an obligation under contract or law by the inventor(s) to convey rights to the nonprofit organization identified above with regard to the invention.

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR 1.9(c) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

FULL NAME Beijing Medical University

ADDRESS No.38 Xueyuan Road, Haidian District, Beijing 100083 China

INDIVIDUAL : SMALL BUSINESS CONCERN NONPROFIT ORGANIZATION

FULL NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

INDIVIDUAL SMALL BUSINESS CONCERN NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. [37 CFR 1.28 (b)]

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, and patent issuing thereon, or any patent to which this verified statement is directed.

Send correspondence to:

PENNIE & EDMONDS LLP  
1155 Avenue of the Americas  
New York, N.Y. 10036-2711

Direct Telephone calls to:  
PENNIE & EDMONDS LLP  
(212) 790-9090

Name of person signing HAN Qide

Title of person other than owner Director

Address of person signing No. 38 Xueyuan Road, Haidian District, Beijing 100083, China

Signature

Han Qide

Date

March 11, 2004

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities.  
(37 CFR 1.27)

*Attachment C*

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: : Application of: Ma et al.  
Patent of:

: Application No.: To be assigned  
Patent No.:

Group Art Unit: To be assigned

: Filed: On Even date herewith  
Issued:

Examiner: To be assigned

For: Chemokine Like Factors (CKLFs) with  
Chemotactic and Hematopoietic Stimulating Activities

Attorney Docket No.: 10776-003-999

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
[37 CFR 1.9(f) and 1.27(c)] - Small Business Concern

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

I hereby declare that I am

the owner of the small business concern identified below:  
an official of the small business concern empowered to act in behalf of  
the concern identified below:

Name of organization Beijing Medical University United Biological Engineering  
Company

Address of organization No. 38 Xueyuan Road  
Haidian District, Beijing 100083  
China

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the person employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern and/or there is an obligation under contract or law by the inventor(s) to convey rights to the small business concern with regard to the invention entitled Chemokine Like Factors (CKLFs) with Chemotactic and Hematopoietic Stimulating Activity by inventor(s) Dalong Ma, Wenling Han, Yingmei Zhang, Quansheng Song, Chunhui Di, Jiaqiang Huang, Jian Tang, Guanghui Chen described in

: the specification filed herewith

REST AVAILABLE COPY

application no. filed  
patent no. issued

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

FULL NAME Beijing Medical University United Biological Engineering  
ADDRESS No. 38 Xueyuan Road, Haidian District, Beijing 100083, China

INDIVIDUAL SMALL BUSINESS CONCERN : NONPROFIT ORGANIZATION

FULL NAME \_\_\_\_\_  
ADDRESS \_\_\_\_\_

INDIVIDUAL SMALL BUSINESS CONCERN NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. [37 CFR 1.28 (b)]

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, and patent issuing thereon, or any patent to which this verified statement is directed.

Send correspondence to: PENNIE & EDMONDS LLP Direct Telephone calls to:  
1155 Avenue of the Americas PENNIE & EDMONDS LLP  
New York, N.Y. 10036-2711 (212) 790-9090

Name of person signing ZHU Renyang  
Title of person other than owner Vice President  
Address of person signing No. 38 Xueyuan Road, Haidian District, Beijing 100083, China

Signature ZHU Renyang Date May 11, 2001

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities.  
(37 CFR 1.27)

BEST AVAILABLE COPY